

Employee Post-Travel Disclosure of Travel Expenses

RECEIVED Date/Time Stamp: SECRETARY OF THE SENATE PUBLIC RECORDS 2019 MAY 13 PM 4:47
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Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original *Employee Pre-Travel Authorization* (Form RE-1), AND
- ☒ A copy of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Duke University Health System and Duke University School of Medicine

Travel date(s): April 17-19, 2019

Name of accompanying family member (if any): N/A

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$424.52	\$226.84	\$149.03	\$6.22 - Class Photo
<input checked="" type="checkbox"/> Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): See attached itinerary

5/13/2019 Andrew Vogt Andrew Vogt
(Date) (Printed name of traveler) (Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

5/13/19 Lamar Alexander
(Date) (Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Duke University Health System and Duke University School of Medicine
2. Description of the trip: Trip to Duke University Health System and Duke University School of Medicine in Durham to participate in Project Health Education.
3. Dates of travel: April 17-19, 2019
4. Place of travel: Durham, North Carolina
5. Name and title of Senate invitees: See attached list of attendees
6. I *certify* that the trip fits one of the following categories:
☐ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

OR

☒ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

AND

☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

AND

☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

OR

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

OR

☒ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Duke University Health System and Duke University School of Medicine both sponsor this event, have allocated funds to pay for the event, and are both involved in planning the event.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

Project Health Education allows Duke University Health System and Duke University School of Medicine to educate about the missions of academic medical institutions: educating future medical and scientific leaders; transforming medicine through research; and improving community health.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

Duke University School of Medicine and Duke University Health System sponsored similar programs for Congressional staff and primary health legislative assistants in 2000, 2002, 2003, 2005, 2007, 2009, 2014, 2017

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

Duke University School of Medicine has over 2400 academic & clinical faculty in 39 departments, research centers and institutes. There are 1073 residents and fellows, 418 MD students and other professions and PhD students (2018) participating in medical education, clinical care, and biomedical research at Duke.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$455 (airfare and ground transportation)	\$107/night + tax	\$152	\$5 class photo and diploma

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

This trip is arranged specifically with regard to Congressional participation.

18. Reason for selecting the location of the event or trip

Duke University Health System and Duke University School of Medicine provide an educational, fact finding experience on-site, within an academic medical center.

19. Name and location of hotel or other lodging facility:

Washington Duke Inn and Golf Club: 3001 Cameron Blvd, Durham, NC 27705

20. Reason(s) for selecting hotel or other lodging facility:

Proximity to academic medical center, adjacent to campus

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging and meals are within per diem rates for federal government travel.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach airfare from Washington, DC (DCA) to Raleigh/Durham airport (RDU) and cab to and from the

airport. Group shuttle during the program to and from Washington Duke Inn and academic medical center

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:

Mary E. Klotman MD

Name and Title: Mary Klotman, MD, Dean and Vice Chancellor for Health Affairs

Name of Organization: Duke University School of Medicine

Address: Box 2927 Med. Ctr., Durham, NC 27705

Telephone Number: 9196842455

Fax Number: 9196840208

E-mail Address: mary.klotman@duke.edu

I hereby *certify* that the information contained on pages 1-4 of the certification form and any accompanying addenda, all submitted in connection with the April 17-19, 2019 trip
to Durham, NC is true, complete, and correct.
Dates of Travel (Month Day, Year)
Place of Travel

Signature of Travel Sponsor: William J. Fulkerson MD
Name and Title: William J. Fulkerson, MD, Executive Vice President, DUHS
Name of Organization: Duke University Health System
Address: 108 Davison Bldg., Durham, NC 27710
Telephone Number: 919-684-1860
Fax Number: 919-660-0260
E-mail Address: william.fulkerson@duke.edu

Private Sponsor Certification – Additional Sponsor Signature Page

14-00000

10:03 a.m. American Airlines Flight 4428 from DCA lands at RDU.
Take cab to North Pavilion (2400 Pratt Street, Durham, NC, 27705).
You will be greeted in the lobby and Dr. Kurtzberg will take you to the first meeting place.

10:45 – 11:15 a.m. Welcome and overview of the science of cord blood transplantation
Room 9026, North Pavilion (919-668-1102)
Learn more about translational medicine, focusing on the science of umbilical cord blood transplantation and umbilical cord blood and cord tissue derived cellular therapies. Understand the mission and goals of a public cord blood bank.

- Joanne Kurtzberg, MD, Director, Pediatric Blood and Marrow Transplant Program, Co-Director, Stem Cell Transplant Laboratory Duke University Medical Center, Jerome Harris Distinguished Professor of Pediatrics Professor of Pathology

11:15 a.m. – 3:00 p.m. Observe administration of DUOC cells into spinal fluid of a child with leukodystrophy
Duke Children's Health Center
Observe final preparation of cells in the laboratory before transportation to Duke Children's Health Center. Once transferred, witness a procedure where the cells are being administered through spinal fluid of a child with leukodystrophy.

- Joanne Kurtzberg, MD

3:00 – 3:30 p.m. Leave Duke Children's Health Center and check in at hotel

6:00 – 8:30 p.m. Welcome Reception & Arrival Dinner
Washington Duke Inn
Introduction to Project Health Education. The multiple missions of an academic health center. Duke Health's unique aspects - who we are, what we do, the scope of our services to North Carolina and beyond.

- Mary Klotman, MD, Dean, Duke University School of Medicine
- Joe Rogers, MD, Chief Medical Officer, Duke University Health System

7:40 a.m. Shuttle

8:00 – 8:45 a.m. **Welcome & The Duke Experience – Becoming a Physician**
Trent Semans Center for Health Education, Classroom 4067 (Breakfast Provided)
Welcome to Duke's newest medical students; brief introductions and facilities overview. Duke's unique interprofessional educational approach and distinct curriculum; diversity and inclusion; impact of government regulations on training and teaching; white coat history and ceremony.

- Walk to HSPSC on fifth floor, break*

Concurrent sessions *Participants divide into two groups; each group will attend all sessions*

Hands-on patient simulator, virtual reality demonstration, task trainers. Practice your medical school training in the simulation center with scenarios.

- Session 2: (20 minutes)**

Admissions interviews fast track. Learn the admissions process through live admissions interviews with students.

- Kelly Branford, Director, Clinical Skills Program, Duke University School of Medicine

Session 2: (20 minutes)

Surgical Education and Activities Lab. Hands on learning with interactive surgical tools.

- Layla Triplett, Simulation Lab Coordinator, Duke University, Department of Surgery

Walk to Great Hall steps for photo.

10:15 a.m. Class Photograph, Great Hall Steps

Short break and move to TSCHE 3075 – conference room 3.

Graduate Medical Education

Overview of Graduate Medical Education (internship, residency, fellowship); core skills and the respective roles of each player; the patient care team; match to "residencies"; description of "rounding"; HIPAA Overview

- Ten minute break, grab lunches.*

Lunch and Learn

Session 1: Community Impact & Duke Connected Care

- **Devdutta Sangvai, MD, MBA, Executive Director for Duke Connected Care, Associate Chief Medical Officer, Duke University Health System, Assistant Professor, Family Medicine, Pediatrics and Psychiatry, Duke University Medical Center**

Session 2: Financial Operations of a Successful Academic Medical Center

Facts and figures on what it takes to run one of the leading academic health institutions; charity care for the community; overview of how government policies impact medical education and operations at a private institution

- Shuttle picks up at 12:45 p.m. to head to UNC-CH.*

Arrival at UNC Adams School of Dentistry

Welcome and Round Table Discussion with Dean and School Leadership

Koury Oral Health Sciences Building

Introduction to the state's first dental school. Get a glimpse at what makes the Adams School a national leader in education, clinical care, research and service.

- **Scott De Rossi, DMD, MBA, Dean and Professor, UNC Adams School of Dentistry**

Tour and Overview of UNC Craniofacial Center

Participate in a hands on learning experience with teledentistry and 3D imaging tools.

- The research process from basic lab techniques to clinical applications; Duke's research enterprise and NIH funding; clinical trials. Understanding technology*

Walk to DMP 2W93

- 10:00 – 10:30 a.m. Financial Toxicity and the Costs of Cancer Care
DMP 2W93
*Opportunity to discuss care delivery for patients with advanced cancer.
Discussion centered on access to and cost of care, comparative effectiveness of
care delivery between health systems, and financial toxicity of cancer care.*
- Fumiko Chino, MD, Duke University School of Medicine, Radiation Oncology

- Short break on walk to Medical Center Board Room.*

- 12:15 p.m. Program conclusion

3:03 p.m. American Airlines flight from RDU to DCA.

